

## **Report of the Executive Manager – Finance and Corporate Services**

### **1. Purpose of the Report**

- 1.1 The attached report has been prepared by the Council's internal auditors RSM. It is the first report for the financial year 2018/19 and shows the current position on the audit programme, along with any significant recommendations with regards to the audits completed during this period.

### **2. Recommendation**

It is RECOMMENDED that the Corporate Governance Group notes the first progress report for 2018/19 (**Appendix A**) from the Council's Internal Auditor.

### **3. Reasons for Recommendation**

- 3.1 To conform with best practice and Public Sector Internal Audit Standards and give assurance to the Corporate Governance Group regarding the Council's internal control environment.

### **4. Supporting Information**

- 4.1 The Internal Audit Plan for 2018/19 includes 15 planned reviews (an additional review of Business Continuity was added after the plan for 2018/19 was finalised). Of these reviews 31% have now been completed. The attached report highlights the completion and issuing of five reports since April 2018: Risk Management, Business Continuity, General Data Protection Regulations, Council Tax and Asset Investment.
- 4.2 All assignments have received a positive assurance with no high or medium risk recommendations. Mitigating action has been agreed by management for all recommendations.

### **5. Risk and Uncertainties**

- 5.1 If recommendations are not acted upon there is a possibility that internal controls are weakened and the risk materialises.

### **6. Implications**

#### **6.1 Financial Implications**

There are no direct financial implications to the report. Indirectly, a better internal control environment suggests risk has reduced and can result in a reduced audit workload and therefore cost.

## **6.2 Legal Implications**

None.

## **6.3 Equalities Implications**

None.

## **6.4 Other Implications**

None.

## **7. Link to Corporate Priorities**

Not applicable.

## **8. Recommendation**

It is RECOMMENDED that the Corporate Governance Group notes the first progress report for 2018/19 (**Appendix A**) from the Council's Internal Auditor.

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<b>Background papers Available for Inspection:</b>	
<b>List of appendices (if any):</b>	<b>Appendix A</b> – Internal Audit Progress Report 2018/19